

SEBI Gr-A Syllabus 2023 Download PDF





SEBI Grade A Exam Pattern - Phase 1

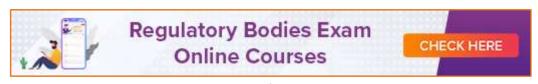
In phase 1, all the candidates will have a common paper I and paper II from the general stream. All the questions asked in both the phases will be of MCQ type except the language subject. All question papers of both the phases are set in a bilingual manner (Hindi and English), except the English test.

To compete well, you must be well aware of all the details of the exam, including the unique syllabus and exam pattern. The following table will help you understand the latest SEBI Grade A Phase 1 Exam Pattern well as released under the **latest handout**.

Paper	Stream/Subjects	No. of	Maximum	Duration	Cut-
		Ques.	Marks	in minutes	Off
					(%)
Paper 1	English Language	20	25	60	30
	General Awareness	20	25		
	Quantitative Aptitude	20	25		
	Test of Reasoning	20	25		
	Total	80	100		
Paper 2	General Stream: Accountancy,	50	100	40	40
	Commerce, Costing,				
	Management, Finance,				
	Companies Act and Economics				
	Legal, Information Technology,	50	100	40	40
	Engineering Stream (Civil &				
	Electrical), Official Language				
	Stream				
	Research Stream: Economics,	50	100	40	40
	Econometrics, Statistics,				
	Finance and Commerce.				
Aggregate					40
Cut off					

SEBI Grade A Exam Pattern - Phase 2

Candidates securing the cut-off marks in Phase 1 will be allowed to take the **SEBI Grade A Phase 2 Exam**. In Phase 2 exams there will be two papers: Paper I and Paper II of 100 marks each. In phase 2, all the candidates will have a common paper I (1/3 weightage) and paper II (2/3 weightage) from the general stream. It is suggested to secure more marks in this phase as this is considered for the final selection.





All the information regarding Phase **SEBI Grade A Exam Pattern** is given below in the tabular form:

Paper	Stream/Subjects	Maximum	Duration	Cut-
		Marks	in minutes	Off
				(%)
Paper 1	All Streams: English (Descriptive Test)	100	60	30
	to test the drafting skills			
Paper 2	General Stream: MCQ's on Commerce,	100	40	40
	Accountancy, Management, Finance,			
	Costing, Companies Act & Economics			
	Legal, Engineering Stream (Civil &	100	40	40
	Electrical) and Official Language stream:			
	MCQs on Specialized subject related to			
	the stream.			
	Research Stream: MCQs on Economics,			
	Econometrics, Statistics, Finance and			
	Commerce.			
Aggregate				50%
Cut off				

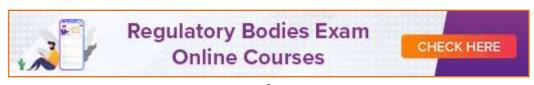
SEBI Grade A Syllabus 2023 Section Wise(General Stream)

A. Commerce & Accountancy

- 1. Accounting as a financial information system;
- 2. Accounting Standards with specific reference to Accounting for Depreciation, Inventories, Revenue Recognition, Fixed Assets, Foreign Exchange Transactions, Investments.
- 3. Cash Flow Statement, Fund flow statement, Financial statement analysis; Ratio analysis;
- 4. Accounting for Share Capital Transactions including Bonus Shares, Right
- 5. Employees Stock Option and Buy-Back of
- 6. Preparation and Presentation of Company Final

B. Management

- 1. Management: its nature and scope; The Management Processes; Planning, Organization, Staffing, Directing and Controlling;
- 2. The Role of a Manager in an Organization. Leadership: The Tasks of a Leader;
- 3. Leadership Styles; Leadership Theories; A successful Leader versus an effective
- 4. Human Resource Development: Concept of HRD; Goals of HRD;
- 5. Motivation, Morale, and Incentives: Theories of Motivation; How Managers Motivate; Concept of Morale; Factors determining morale; Role of Incentives in Building up Morale.
- 6. Communication: Steps in the Communication Process; Communication Channels; Oral versus Written Communication; Verbal versus non-verbal Communication; upward, downward and lateral communication; Barriers to Communication, Role of Information Technology.





C. Finance

1) Financial System

1. Role and Functions of Regulatory bodies in Financial

2) Financial Markets

1. Primary and Secondary Markets (Forex, Money, Bond, Equity, etc.), functions, instruments, recent developments.

3) General Topics

- 1. Basics of Derivatives: Forward, Futures and Swap
- 2. Recent Developments in the Financial Sector
- 3. Financial Inclusion- use of technology
- 4. Alternate source of finance, private and social cost-benefit, Public-Private Partnership
- 5. Direct and Indirect taxes; Non-tax sources of Revenue, GST, Finance Commission, Fiscal Policy, Fiscal Responsibility and Budget Management Act (FRBM),
- 6. Inflation: Definition, trends, estimates, consequences, and remedies (control): WPI, CPI components and trends.

D. Costing

- 1. Overview of Cost and Management Accounting Introduction to Cost and Management Accounting, Objectives and Scope of Cost and Management Accounting.
- 2. Methods of Costing Single Output/ Unit Costing, Job Costing, Batch Costing, Contract Costing, Process/ Operation Costing, Costing of Service
- 3. Basics of Cost Control and Analysis (i) Standard Costing, (ii) Marginal Costing, (iii) Budget and Budgetary Control.
- 4. Lean System and Innovation:-
- Introduction to Lean System
- o Just-in-Time (JIT)
- Kaizen Costing
- o 5 Ss
- o Total Productive Maintenance (TPM)
- o Cellular Manufacturing/ One-Piece Flow Production Systems
- Six Sigma (SS)
- o Introduction to Process Innovation and Business Process Re-engineering (BPR).

E. Companies Act

The Companies Act, 2013 – Specific reference to Chapter III, Chapter IV, Chapter VIII, Chapter X, Chapter XI, Chapter XII and Chapter XXVII.

F. Economics

- Demand and Supply, Market Structures, National Income: Concepts and Measurement, Classical & Keynesian Approach Determination of output and employment, Consumption Function, Investment Function, Multiplier and Accelerator, Demand and Supply for Money, IS LM, Inflation and Phillips Curve, Business Cycles
- Balance of Payments, Foreign Exchange Markets, Inflation, Monetary and Fiscal Policy, Nonbanking Financial

