

SEBI Gr-A Syllabus 2023

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SEBI Grade A Exam Pattern -Phase 1

In phase 1, all the candidates will have a common paper I and paper II from the general stream. All the questions asked in both the phases will be of MCQ type except the language subject. All question papers of both the phases are set in a bilingual manner (Hindi and English), except the English test.

To compete well, you must be well aware of all the details of the exam, including the unique syllabus and exam pattern. The following table will help you understand the latest SEBI Grade A Phase 1 Exam Pattern well as released under the [latest handout](#).

| Paper | Stream/Subjects | No. of Ques. | Maximum Marks | Duration in minutes | Cut-Off (%) |
|--------------------------|---|--------------|---------------|---------------------|-------------|
| Paper 1 | English Language | 20 | 25 | 60 | 30 |
| | General Awareness | 20 | 25 | | |
| | Quantitative Aptitude | 20 | 25 | | |
| | Test of Reasoning | 20 | 25 | | |
| | Total | 80 | 100 | | |
| Paper 2 | General Stream: Accountancy, Commerce, Costing, Management, Finance, Companies Act and Economics | 50 | 100 | 40 | 40 |
| | Legal, Information Technology, Engineering Stream (Civil & Electrical), Official Language Stream | 50 | 100 | 40 | 40 |
| | Research Stream: Economics, Econometrics, Statistics, Finance and Commerce. | 50 | 100 | 40 | 40 |
| Aggregate Cut off | | | | | 40 |

SEBI Grade A Exam Pattern -Phase 2

Candidates securing the cut-off marks in Phase 1 will be allowed to take the **SEBI Grade A Phase 2 Exam**. In Phase 2 exams there will be two papers: Paper I and Paper II of 100 marks each. In phase 2, all the candidates will have a common paper I (1/3 weightage) and paper II (2/3 weightage) from the general stream. It is suggested to secure more marks in this phase as this is considered for the final selection.



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All the information regarding Phase **SEBI Grade A Exam Pattern** is given below in the tabular form:

| Paper | Stream/Subjects | Maximum Marks | Duration in minutes | Cut-Off (%) |
|--------------------------|---|---------------|---------------------|-------------|
| Paper 1 | All Streams: English (Descriptive Test) to test the drafting skills | 100 | 60 | 30 |
| Paper 2 | General Stream: MCQ's on Commerce, Accountancy, Management, Finance, Costing, Companies Act & Economics | 100 | 40 | 40 |
| | Legal, Engineering Stream (Civil & Electrical) and Official Language stream: MCQs on Specialized subject related to the stream. | 100 | 40 | 40 |
| | Research Stream: MCQs on Economics, Econometrics, Statistics, Finance and Commerce. | | | |
| Aggregate Cut off | | | | 50% |

SEBI Grade A Syllabus 2023 Section Wise (General Stream)

A. Commerce & Accountancy

1. Accounting as a financial information system;
2. Accounting Standards with specific reference to Accounting for Depreciation, Inventories, Revenue Recognition, Fixed Assets, Foreign Exchange Transactions, Investments.
3. Cash Flow Statement, Fund flow statement, Financial statement analysis; Ratio analysis;
4. Accounting for Share Capital Transactions including Bonus Shares, Right
5. Employees Stock Option and Buy-Back of
6. Preparation and Presentation of Company Final

B. Management

1. Management: its nature and scope; The Management Processes; Planning, Organization, Staffing, Directing and Controlling;
2. The Role of a Manager in an Organization. Leadership: The Tasks of a Leader;
3. Leadership Styles; Leadership Theories; A successful Leader versus an effective
4. Human Resource Development: Concept of HRD; Goals of HRD;
5. Motivation, Morale, and Incentives: Theories of Motivation; How Managers Motivate; Concept of Morale; Factors determining morale; Role of Incentives in Building up Morale.
6. Communication: Steps in the Communication Process; Communication Channels; Oral versus Written Communication; Verbal versus non-verbal Communication; upward, downward and lateral communication; Barriers to Communication, Role of Information Technology.



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C. Finance

1) Financial System

1. Role and Functions of Regulatory bodies in Financial

2) Financial Markets

1. Primary and Secondary Markets (Forex, Money, Bond, Equity, etc.), functions, instruments, recent developments.

3) General Topics

1. Basics of Derivatives: Forward, Futures and Swap

2. Recent Developments in the Financial Sector

3. Financial Inclusion- use of technology

4. Alternate source of finance, private and social cost-benefit, Public-Private Partnership

5. Direct and Indirect taxes; Non-tax sources of Revenue, GST, Finance Commission, Fiscal Policy, Fiscal Responsibility and Budget Management Act (FRBM),

6. Inflation: Definition, trends, estimates, consequences, and remedies (control): WPI, CPI - components and trends.

D. Costing

1. Overview of Cost and Management Accounting - Introduction to Cost and Management Accounting, Objectives and Scope of Cost and Management Accounting.

2. Methods of Costing - Single Output/ Unit Costing, Job Costing, Batch Costing, Contract Costing, Process/ Operation Costing, Costing of Service

3. Basics of Cost Control and Analysis - (i) Standard Costing, (ii) Marginal Costing, (iii) Budget and Budgetary Control.

4. Lean System and Innovation:-

- Introduction to Lean System

- Just-in-Time (JIT)

- Kaizen Costing

- 5 Ss

- Total Productive Maintenance (TPM)

- Cellular Manufacturing/ One-Piece Flow Production Systems

- Six Sigma (SS)

- Introduction to Process Innovation and Business Process Re-engineering (BPR).

E. Companies Act

The Companies Act, 2013 – Specific reference to Chapter III, Chapter IV, Chapter VIII, Chapter X, Chapter XI, Chapter XII and Chapter XXVII.

F. Economics

- Demand and Supply, Market Structures, National Income: Concepts and Measurement, Classical & Keynesian Approach Determination of output and employment, Consumption Function, Investment Function, Multiplier and Accelerator, Demand and Supply for Money, IS - LM, Inflation and Phillips Curve, Business Cycles

- Balance of Payments, Foreign Exchange Markets, Inflation, Monetary and Fiscal Policy, Non-banking Financial



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